Morris Group Inc.

Privacy Policy

As a registered broker dealer, Morris Group Inc. is covered under Federal regulations concerning revelation of our client's (past, present, and prospective) personal financial information. When you invest through Morris Group you provide us with your personal non-public information. Information is collected to service your account. Any such information is completely confidential. This has always been our policy and will remain. The only people with whom we discuss client affairs are tax advisors on our clients instruction, and investment companies. These companies are also covered under the Federal regulations and hold all information confidential. We never disclose any financial or any other information to any party unless we are directed to do so by our client.

As an introducing broker dealer only, we rely on the mutual fund companies, insurance companies and annuity companies to issue privacy policy statements to all our mutual clients. We have obtained a number of company privacy statements to be certain of compliance with the regulation.

Our representatives and employees are required to follow procedures with respect to maintaining the confidentiality of our investors' non-public information. Additionally, we maintain physical and electronic safeguards to protect the information.

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